
ICICI Securities Limited ICICI Venture House, Appasaheb Marathe Marg, Prabhadevi, Mumbai – 400025 Maharashtra, India Telephone: +91 22 6807 7100 E-mail: kfintech.ipo@icicisecurities.com Investor Grievance ID: customercare@icicisecurities.com Website: www.icicisecurities.com SEBI Registration Number: INM000011179 CIN: L67120MH1995PLC086241	Kotak Mahindra Capital Company Limited 27BKC, 1st Floor, Plot No. C – 27, "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051, Maharashtra, India Telephone: +91 22 4336 0000 E-mail: kfintech.ipo@kotak.com Investor Grievance ID: kmcrcdressal@kotak.com Website: www.investmentbank.kotak.com SEBI Registration No.: INM000008704 CIN: U67120MH1995PLC134050	J.P. Morgan India Private Limited J.P. Morgan Tower Off CST Road, Kalina, Santacruz East, Mumbai – 400098, Maharashtra, India Telephone no.: +91 22 6157 3000 E-mail: KFINTech_IPO@jpmorgan.com Website: www.jpiml.com Investor Grievance ID: investorsmb.jpiml@jpmorgan.com SEBI Registration No.: INM000002970 CIN: U67120MH1992FTC068724	IIFL Securities Limited IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West) Mumbai- 400013, Maharashtra, India Telephone: + 91 22 4646 4600 E-mail: kfintech.ipo@iiflcap.com Website: www.iiflcap.com Investor Grievance ID: ig.ib@iiflcap.com SEBI Registration No.: INM000010940 CIN: L99999MH1996PLC132983	Jefferies India Private Limited 42/43, 2 North Avenue, Maker Maxity, Bandra-Kurla Complex (BKC) Bandra (East), Mumbai-400 051, Maharashtra, India Telephone no.: +91 22 4356 6000 E-mail: kfintech.ipo@jefferies.com Website: www.jefferies.com Investor Grievance ID: jipl.grievance@jefferies.com SEBI Registration No.: INM000011443 CIN: U74140MH2007PTC200509

April 12, 2021

Securities and Exchange Board of India

Corporation Finance Department
Division of Issues and Listing
Plot No. C4 A, 'G' Block
Bandra Kurla Complex
Bandra (East)
Mumbai 400 051,
Maharashtra, India

Kind attention: Poonam, Assistant Manager






Re: Proposed initial public offering of equity shares of face value of ₹10 each ("Equity Shares") of KFin Technologies Limited (the "Company" and such initial public offering, the "Offer")

Dear Madam,






This is in relation to the complaint dated April 1, 2022 sent by Mr. Vijayakumar M G ("Complainant"), addressed to amongst others, the Securities and Exchange Board of India ("SEBI"), forwarded by SEBI vide an e-mail dated April 6, 2022 ("Complaint").

We wish to inform you that the Company has provided a response to the Complaint through an email dated April 12, 2022 ("Response"), which has been attached as **Annexure I**, and is summarised below, for your reference.

	Date of Receipt	Complaint details in brief	Whether addressed by Merchant Banker (Y/N)	Reply of the Merchant Banker in brief	Page no. of relevant disclosure in the Draft Offer document
Mr. Vijayakumar M G	Email dated April 1, 2022 addressed to, amongst others, SEBI and forwarded by SEBI vide an e-mail dated April 6, 2022	The Complaint has alleged, amongst others, (i) that 'Karvy' is the parent company of the Company; (ii) that the Company is siphoning off unclaimed dividends; and (iii) that the Company has not paid dividend of Maruti Suzuki, Hindustan Unilever and	Yes, as addressed by Company in their Response	The Company has responded to the Complainant pursuant to their Response, as follows: (i) that the allegation is denied as 'Karvy' is not the parent company of the Company. The Company has informed the Complainant in its response that General Atlantic Singapore Fund Pte. Ltd. is the Promoter of the Company. Further, as on the date of the Draft Red Herring Prospectus, the Promoter holds 74.94% of the issued, subscribed and paid-up Equity Share capital of the Company, details of which are	In relation to s.no. (i), details of the Promoter are disclosed in the section titled "Promoter and Promoter Group" on page 260 of the Draft Red Herring Prospectus. In relation to s.nos. (ii) and (iii), page nos are not applicable.

				
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	Date of Receipt	Complaint details in brief	Whether addressed by Merchant Banker (Y/N)	Reply of the Merchant Banker in brief	Page no. of relevant disclosure in the Draft Offer document
		Reliance Industries Limited of previous years to the Complainant.		<p>disclosed in the section titled “Promoter and Promoter Group” on page 260 of the Draft Red Herring Prospectus.</p> <p>(ii) that the allegation is denied, as it is unfounded.</p> <p>(iii) that as the Complaint did not provide any details pertaining to the demat account/folio etc. of the Complainant, the Company conducted a search of its records using the email address mentioned in the Complaint and identified correspondences with the said email address pertaining to non-receipt of a dividend of ₹900/- (Rupees Nine Hundred only) related to the de-mat account no. 1201090000400261 involving Glaxosmithkline Consumer Healthcare Limited, a listed company, which as per the records of the Company was credited on January 7, 2020 to the bank account mentioned in the Response. The Company communicated the above over a telephonic call made by the Company team to the Complainant on April 11, 2022, and subsequently the Complainant confirmed the receipt of the said dividend amount. Additionally, the Company stated that it has inquired the DP ID / folio number associated with the account for which non-payment of dividend of Maruti Suzuki, Hindustan Unilever and Reliance Industries Limited is alleged in the Complaint over the above mentioned telephonic call and understands from the Complainant that he shall check</p>	

				
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	Date of Receipt	Complaint details in brief	Whether addressed by Merchant Banker (Y/N)	Reply of the Merchant Banker in brief	Page no. of relevant disclosure in the Draft Offer document
				the DP ID / folio number and provide the same to the Company to process the Complaint further. The Company has undertaken to resolve the Complaint on priority as and when the requisite information is received from the Complainant.	

We confirm that pursuant to the Complaint, no changes are required in the draft red herring prospectus dated March 31, 2022 filed by the Company with SEBI (“**DRHP**”).

Further, we would like to confirm that the disclosures made in the DRHP are adequate and that all relevant disclosures have been made by in the DRHP in accordance with the Securities and Exchange Board of India (Issue of Capital and Disclosures Requirements) Regulations, 2018 (“**SEBI ICDR Regulations**”) and therefore, the DRHP is in compliance with the spirit and text of the SEBI ICDR Regulations and any other applicable SEBI regulations and guidelines.

All capitalised terms not defined herein have the meaning ascribed to such terms in the DRHP.

We request you to kindly take the same on record.

Should you require any clarification, we request you to contact the following persons from ICICI Securities Limited:

Contact Person	Contact Number	Email
Prem D’Cunha	+91 99302 63663	prem.dcunha@icicisecurities.com
Nidhi Wangnoo	+91 72900 21384	nidhi.wangnoo@icicisecurities.com
Sumit Singh	+91 87894 19402	sumitkumar.singh@icicisecurities.com

Thanking you.

Yours faithfully,

Encls – Annexure as above

				
ICICI Securities Limited ICICI Venture House, Appasaheb Marathe Marg, Prabhadevi, Mumbai – 400025 Maharashtra, India Telephone: +91 22 6807 7100 E-mail: kfintech.ipo@icicisecurities.com Investor Grievance ID: customercare@icicisecurities.com Website: www.icicisecurities.com SEBI Registration Number: INM000011179 CIN: L67120MH1995PLC086241	Kotak Mahindra Capital Company Limited 27BKC, 1st Floor, Plot No. C – 27, "G" Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051, Maharashtra, India Telephone: +91 22 4336 0000 E-mail: kfintech.ipo@kotak.com Investor Grievance ID: kmcressedsal@kotak.com Website: www.investmentbank.kotak.com SEBI Registration No.: INM000008704 CIN: U67120MH1995PLC134050	J.P. Morgan India Private Limited J.P. Morgan Tower Off CST Road, Kalina, Santacruz East, Mumbai – 400098, Maharashtra, India Telephone no.: +91 22 6157 3000 E-mail: KFINTech_IPO@jpmorgan.com Website: www.jpml.com Investor Grievance ID: investorsmb.jpml@jpmorgan.com SEBI Registration No.: INM000002970 CIN: U67120MH1992FTC068724	IIFL Securities Limited IIFL Centre, Kamala City, Senapati Bapat Marg, Lower Parel (West) Mumbai- 400013, Maharashtra, India Telephone: + 91 22 4646 4600 E-mail: kfintech.ipo@iiflcap.com Website: www.iiflcap.com Investor Grievance ID: ig.ib@iiflcap.com SEBI Registration No.: INM000010940 CIN: L99999MH1996PLC132983	Jefferies India Private Limited 42/43, 2 North Avenue, Maker Maxity, Bandra-Kurla Complex (BKC) Bandra (East), Mumbai-400 051, Maharashtra, India Telephone no.: +91 22 4356 6000 E-mail: kfintech.ipo@jefferies.com Website: www.jefferies.com Investor Grievance ID: jjpl.grievance@jefferies.com SEBI Registration No.: INM000011443 CIN: U74140MH2007PTC200509

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For ICICI Securities Limited

Authorised Signatory

Name: Nidhi Wangnoo

Designation: VP

Contact Number: +912268077215

Email: nidhi.wangnoo@icicisecurities.com

				
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For Kotak Mahindra Capital Company Limited



Authorised Signatory

Name: Sumit Agarwal

Designation: Director - ECF

Contact Number: 9167554074

Email: sumit.a@kotak.com

				
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For J.P. Morgan India Private Limited

Abhinav Bharti



Authorised Signatory
 Name: Abhinav Bharti
 Designation: Executive Director
 Contact Number: +91-77380-50763
 E-mail: abhinav.bharti@jpmorgan.com

				
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For IIFL Securities Limited

Pinak Rudra Bhattacharyya



Authorised Signatory

Name: Pinak Bhattacharyya

Designation: Senior Vice President – Head Corporate Finance

Contact Number: +91 22 46464712

E-mail: pinak@iiflcap.com

				
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For Jefferies India Private Limited




Authorised Signatory

Name: Aman Puri

Designation: Senior Vice President

Contact Number: +91 22 4356 6000

E-mail: kfintech.ipo@jefferies.com

compliance corp

From: compliance corp
Sent: 12 April 2022 20:58
To: lvknavia@gmail.com
Subject: Reply to the Complaint

[WITHOUT PREJUDICE]

Dear Mr. Vijayakumar M G,

This is with reference to the complaint filed by you before the Securities and Exchange Board of India by way of email dated April 1, 2022 ("Complaint").

As the said Complaint did not provide any details pertaining to your demat account/folio details etc, we conducted a search using the email address mentioned in the Complaint (*i.e.*, lvknavia@gmail.com). Based on this we have identified from our records that there are correspondences with the said email address pertaining to non-receipt of dividend of ₹ 900/- (Rupees Nine Hundred only) related to the de-mat account no. 1201090000400261 involving Glaxosmithkline Consumer Healthcare Limited, a listed company. Further, basis our internal records, we understand that the said dividend of ₹ 900/- was originally paid to you on August 17, 2018, however, the same was initially rejected by your receiving bank, but the same was subsequently credited on January 7, 2020 to the following bank account:

Bank account no	554202010011539
IFSC code	UBIN0555428
MICR code	570026003
Bank Name	UNION BANK OF INDIA
Branch	Mysore

We have communicated the above to you over a telephonic call made by our team to you on April 11, 2022 on the number provided in the Complaint. Pursuant to the same, you have confirmed the receipt of the said dividend of ₹ 900/-. Further, please note that during the telephonic conversation we have inquired from you the DP ID / folio number associated with the account for which non-payment of dividend of Maruti Suzuki, Hindustan Unilever and Reliance Industries Limited is alleged in the Complaint. In this regard, please note that we are not in receipt of required documents or any reminders from you, as alleged in the Complaint. We understand from you that you shall check the DP ID / folio number and provide us the same to process the complaint further. We shall resolve the complaint on priority as and when requisite information is received from you.

Further, we vehemently deny the allegations relating to 'Karvy' being the parent company of our Company and siphoning of dividends by our Company, as mentioned in the Complaint. Please note that General Atlantic Singapore Fund Pte. Ltd. is the Promoter of our Company. As on the date of the Draft Red Herring Prospectus, our Promoter holds 74.94% of the issued, subscribed and paid-up Equity Share capital of our Company. For details, see "*Promoter and Promoter Group*" on 260 of the Draft Red Herring Prospectus.

Please feel free to reach out to us on any of the contact details provided in this response.

Thanks and regards,

Alpana Uttam Kundu

Company Secretary and Compliance Officer



KFin Technologies Limited

(formerly known as KFin Technologies Private Limited)

T: +91 40 7961 5565
e: alpana.kundu@kfintech.com

<https://www.kfintech.com/>

From: lvk navia [<mailto:lvknavia@gmail.com>]
Sent: 01/04/2022 15:50
To: sebi <sebi@sebi.gov.in>; SEBI Bangalore Office <bangalore-lo@sebi.gov.in>; narendramodi1234@gmail.com;
connect@mygov.nic.in
Cc: einward.ris@kfintech.com
Subject: FRAUD COMPANY CALLED KFINTECH--NOT TO ALLOW THEM TO ACCESS FINANCIAL MARKET

VIJAYAKUMAR M G
Mob: 8722066555

01-04-2022

Respected Sir(s),

It is reported in the media that the FRAUD COMPANY "KFIN TECHNOLOGIES" has filed draft papers with SEBI for dumping Rs.2400 crores on gullible investors. This is purportedly an offer for sale by their **existing promoters General Atlantic Singapore Fund Pte Ltd, which holds 74.94 percent stake in the company.**

As everyone knows, the parent Company of this K FIN Technologies is KARVY, who have duped lakhs of investors of their hard earned money.

THIS K FIN TECHNOLOGY IS NO DIFFERENT. THEY ARE SIPHONING OFF OF ALL THE DIVIDENDS THAT HAVE NOT BEEN CLAIMED BY THE SHAREHOLDERS.

Even after writing dozens of letters and mails those BASTARDS do not reply. I have been fighting with them to release dividends of the previous years of MARUTI SUZUKI, HINDUSTAN UNILEVER AND RELIANCE INDUSTRIES LTD. Even after submitting all the required documents and following with umpteen number of reminders, I keep getting a reply "that the amount will be shortly released to you". BUT THEY NEVER DO IT.

PLEASE PLEASE FOR HEAVENSAKE DONT ALLOW THESE FRAUDSTERS TO DUMP THEIR ASSETS ON GULLIBLE INDIAN INVESTORS.

I am ready to furnish all the required details about the harrowing experience I have had with these rascals ever since from 12-12-2019,

Thanking you,

Yours faithfully,
VIJAYAKUMAR M G
Mob: 8722066555